

Serial No. 09/681,571 AUG 01 2001

Docket No. RD-28,623

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MANOJ R. SHAH, ET AL

Group Art Unit: 2834

Serial No.: 09/681,571

Examiner:

Filed: May 1, 2001

For ELECTRIC MACHINE STATOR INCLUDING  
KEYBARS

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **PTO-1449 Pursuant to 37 CFR 1.97(b)**  
[within 3 months of filing or prior to 1st Office Action] N/C
- ☐ 2 **Statement Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] N/C
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)**  
[before Final Office Action or Allowance] \$240.00
- ☐ 4 **Petition, Statement & Petition Fee Payment Pursuant to 37 CFR 1.97(d)**  
[before issue fee payment] \$130.00

Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required Statement made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

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☐ 10 37 CFR §1.97(d): [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in item 14 below; and

b) The required Statement is stated in item 11 below.

☐ 11 Statement under 37 CFR 1.97(e)

☐ 12 Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

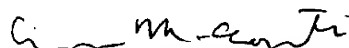
☐ 13 No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

July 27, 2001

Date



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General Electric Company  
CRD Patent Docket Rm 4A59  
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Customer Number: 006147

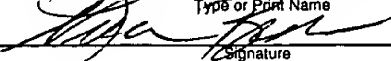
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

July 30, 2001

Date of Deposit

Vivian E. Johnson

Type or Print Name



Signature

FORM PTO-1449  
(REV. 7-80)  
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET

SERIAL NO.

RD-28,623

09/681,571

INFORMATION DISCLOSURE STATEMENT BY APPLICANT--  
LIST OF ITEMS

Applicant

MANOJ R. SHAH, ET AL

Filing Date

05/01/01

Group

2834

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4	5	6	4	7	7	9	01/14/86	TERRY, JR		
	AB	4	8	3	7	4	7	1	06/06/89	KOSTOSS, ET AL		
	AC	4	9	1	6	8	0	3	04/17/90	ESTRADA		
	AD											
	AE											
	AF											
	AG											
	AH											
	AI											
	AJ											
	AK											

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	No
	AL													
	AM													
	AN													
	AO													
	AP													

## OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.